



公 司 註 冊 處
COMPANIES REGISTRY

STATEMENT OF DISCIPLINARY ACTION

ANTI-MONEY LAUNDERING AND COUNTER-TERRORIST FINANCING ORDINANCE (Chapter 615)

Pursuant to section 53Z of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (“AMLO”), disciplinary action set out below has been taken by the Registrar of Companies against the following trust or company service provider licensee:

Licence No.	TC004666
Name of Licensee	LEGACY TRUST COMPANY LIMITED
Contravention	(1) Contravention of section 53U(1) of Part 5A of the AMLO, namely, a person has become a director of the Licensee without prior written approval of the Registrar of Companies. (2) Contravention of section 53W(1) of Part 5A of the AMLO, namely, the Licensee has failed to notify the Registrar of Companies of a change in its particulars within 1 month beginning on the date on which the change takes place.
Date of Decision	21 September 2020
Disciplinary Action Taken	Imposition of a pecuniary penalty of HK\$20,000

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